





## A global SMEFT analysis of the top quark sector at NLO

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Based on work in progress with: Nathan P. Hartland, Fabio Maltoni, Emanuele R. Nocera, Emma Slade, Eleni Vryodinou, Cen Zhang

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# Motivation

#### The Standard Model EFT

Heavy bSM physics beyond the direct reach of the LHC can be parametrised in a model-independent in terms of complete basis of higher-dimensional operators

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_{i}^{N_{d6}} \frac{c_i}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_{j}^{N_{d8}} \frac{b_j}{\Lambda^4} \mathcal{O}_j^{(8)} + \dots ,$$

Some operators induce **growth with the partonic centre-of-mass energy**: increased sensitivity in LHC cross-sections in the TeV region

$$\sigma(E) = \sigma_{\rm SM}(E) \left( 1 + \sum_{i}^{N_{d6}} \omega_i \frac{c_i v^2}{\Lambda^2} + \sum_{i}^{N_{d6}} \widetilde{\omega}_i \frac{c_i E^2}{\Lambda^2} + \mathcal{O}\left(\Lambda^{-4}\right) \right)$$

The number of SMEFT operators is large: 59 non-redundant operators at dimension 6 with Minimal Flavour Violation, > 2000 operators without any flavour assumption

A global SMEFT analysis needs to explore a huge complicated parameter space

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Notation		S	ensitiv	ity at (	$\mathcal{O}(\Lambda^{-2})$	$(\mathcal{O}(\Lambda^{-}$	$^{-4}))$		
	$t\bar{t}$	single-top	tW	tZ	$t\bar{t}W$	$t\bar{t}Z$	$t\bar{t}H$	$t\bar{t}t\bar{t}$	$t\bar{t}b\bar{b}$
OQQ1								<ul> <li>✓</li> </ul>	✓
0QQ8								$\checkmark$	$\checkmark$
OQt1								~	$\checkmark$
OQt8								$\checkmark$	$\checkmark$
0Qb1								(√)	$\checkmark$
ОQЪ8								(√)	$\checkmark$
Ott1								~	$\checkmark$
Otb1								(√)	$\checkmark$
Otb8								$\checkmark$	$\checkmark$
OQtQb1									
OQtQb8									
081qq	$\checkmark$				$\checkmark$	~	~	$\checkmark$	$\checkmark$
011qq	$\checkmark$				(√)	(√)	(√)	$\checkmark$	~
083qq	$\checkmark$	$\checkmark$		(√)	~	1	1	~	$\checkmark$
013qq	$\checkmark$	$\checkmark$		$\checkmark$	(√)	(√)	(√)	~	$\checkmark$
08qt	$\checkmark$				$\checkmark$	~	~	$\checkmark$	$\checkmark$
01qt	$\checkmark$				(√)	(√)	(√)	$\checkmark$	$\checkmark$
08ut	$\checkmark$					~	~	$\checkmark$	$\checkmark$
Olut	$\checkmark$					(√)	(√)	✓	$\checkmark$
08qu	$\checkmark$					✓	✓	✓	$\checkmark$
01qu	$\checkmark$					(√)	(√)	$\checkmark$	✓
08dt	$\checkmark$					~	✓	$\checkmark$	$\checkmark$
Oldt	$\checkmark$					(√)	(√)	$\checkmark$	$\checkmark$
08qd	$\checkmark$					✓	✓	$\checkmark$	$\checkmark$
01qd	✓					(√)	(√)	$\checkmark$	$\checkmark$
OtG	<b>√</b>				<ul> <li>✓</li> </ul>	<ul> <li>✓</li> </ul>	√ √	<ul> <li>✓</li> </ul>	✓
OtW		$\checkmark$	~	$\checkmark$					
ОъМ		(√)	(√)						
OtZ				$\checkmark$		$\checkmark$			
Off		(√)	(√)	(√)					
Ofq3		$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$			
OpQM				$\checkmark$		$\checkmark$			
Opt				$\checkmark$		$\checkmark$	$\checkmark$		
Otp							✓		

A large number of different dimension-6 SMEFT operators modify **top production at LHC** 





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Notation		S	ensitiv	ity at (	$\mathcal{O}(\Lambda^{-2})$	$(\mathcal{O}(\Lambda^{-}$	$^{-4}))$		
	$t\bar{t}$	single-top	tW	tZ	$t\bar{t}W$	$t\bar{t}Z$	$t\bar{t}H$	$t\bar{t}t\bar{t}$	$t\bar{t}b\bar{b}$
OQQ1								✓	$\checkmark$
0QQ8								$\checkmark$	$\checkmark$
OQt1								$\checkmark$	$\checkmark$
0Qt8								~	$\checkmark$
ОQЪ1								(√)	$\checkmark$
ОQЪ8								(√)	$\checkmark$
Ott1								$\checkmark$	$\checkmark$
Otb1								(√)	$\checkmark$
Otb8								$\checkmark$	$\checkmark$
OQtQb1									
OQtQb8									
081qq	$\checkmark$				$\checkmark$	✓	✓	✓	$\checkmark$
011qq	$\checkmark$				(√)	(√)	(√)	$\checkmark$	$\checkmark$
083qq	$\checkmark$	$\checkmark$		(√)	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
013qq	$\checkmark$	$\checkmark$		$\checkmark$	(√)	(√)	(√)	$\checkmark$	$\checkmark$
08qt	$\checkmark$				$\checkmark$	~	~	$\checkmark$	$\checkmark$
01qt	$\checkmark$				(√)	(√)	(√)	~	$\checkmark$
08ut	$\checkmark$					✓	✓	✓	$\checkmark$
01ut	$\checkmark$					(√)	(√)	~	$\checkmark$
08qu	$\checkmark$					✓	$\checkmark$	$\checkmark$	$\checkmark$
01qu	$\checkmark$					(√)	(√)	$\checkmark$	$\checkmark$
08dt	$\checkmark$					✓	$\checkmark$	$\checkmark$	$\checkmark$
Oldt	$\checkmark$					(√)	(√)	✓	$\checkmark$
08qd	$\checkmark$					✓	✓	✓	$\checkmark$
01qd	$\checkmark$					(√)	(√)	<ul> <li>✓</li> </ul>	<ul> <li>✓</li> </ul>
OtG	$\checkmark$				$\checkmark$	✓	✓	✓	$\checkmark$
OtW		$\checkmark$	$\checkmark$	$\checkmark$					
ОъМ		(√)	(√)						
OtZ				$\checkmark$		$\checkmark$			
Off		(√)	(√)	(√)					
Ofq3		$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$			
OpQM				$\checkmark$		$\checkmark$			
Opt				$\checkmark$		$\checkmark$	$\checkmark$		
Otp							✓		

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OQQ1								√	$\checkmark$
0QQ8								~	$\checkmark$
OQt1								~	$\checkmark$
OQt8								$\checkmark$	$\checkmark$
ОQЪ1								(√)	$\checkmark$
0Qъ8								(√)	$\checkmark$
Ott1								~	$\checkmark$
Otb1								(√)	~
Otb8								$\checkmark$	✓
OQtQb1									
OQtQb8									
081qq	$\checkmark$				$\checkmark$	$\checkmark$	~	$\checkmark$	$\checkmark$
011qq	$\checkmark$				(√)	(√)	(√)	~	$\checkmark$
083qq	$\checkmark$	$\checkmark$		(√)	$\checkmark$	$\checkmark$	~	~	$\checkmark$
013qq	$\checkmark$	$\checkmark$		$\checkmark$	(√)	(√)	(√)	~	$\checkmark$
08qt	$\checkmark$				$\checkmark$	$\checkmark$	~	~	$\checkmark$
01qt	$\checkmark$				(√)	(√)	(√)	$\checkmark$	$\checkmark$
08ut	$\checkmark$					$\checkmark$	$\checkmark$	~	$\checkmark$
Olut	$\checkmark$					(√)	(√)	✓	$\checkmark$
08qu	$\checkmark$					$\checkmark$	$\checkmark$	✓	$\checkmark$
01qu	$\checkmark$					(√)	(√)	~	$\checkmark$
08dt	$\checkmark$					$\checkmark$	$\checkmark$	~	$\checkmark$
Oldt	$\checkmark$					(√)	(√)	$\checkmark$	~
08qd	$\checkmark$					$\checkmark$	$\checkmark$	$\checkmark$	~
01qd	$\checkmark$					(√)	(√)	<ul> <li>✓</li> </ul>	$\checkmark$
OtG	<b>√</b>				$\checkmark$	1	1	√	✓
OtW		$\checkmark$	$\checkmark$	$\checkmark$					
ОъW		(√)	(√)						
OtZ				$\checkmark$		$\checkmark$			
Off		(√)	(√)	(√)					
Ofq3		$\checkmark$	$\checkmark$	$\checkmark$		$\checkmark$			
OpQM				$\checkmark$		$\checkmark$			
Opt				$\checkmark$		$\checkmark$	$\checkmark$		
Otp							~		

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OQQ1								✓	$\checkmark$
0QQ8								$\checkmark$	$\checkmark$
OQt1								$\checkmark$	$\checkmark$
OQt8								$\checkmark$	$\checkmark$
ОQЪ1								(√)	$\checkmark$
0Qъ8								(√)	$\checkmark$
Ott1								$\checkmark$	$\checkmark$
Otb1								(√)	$\checkmark$
Otb8								$\checkmark$	$\checkmark$
OQtQb1									
OQtQb8									
081qq	$\checkmark$				~	✓	✓	✓	✓
011qq	$\checkmark$				(√)	(√)	(√)	~	~
083qq	$\checkmark$	$\checkmark$		(√)	$\checkmark$	1	1	~	$\checkmark$
013qq	$\checkmark$	$\checkmark$		$\checkmark$	(√)	(√)	(√)	~	$\checkmark$
08qt	$\checkmark$				$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
01qt	$\checkmark$				(√)	(√)	(√)	$\checkmark$	$\checkmark$
08ut	$\checkmark$					~	$\checkmark$	$\checkmark$	$\checkmark$
Olut	$\checkmark$					(√)	(√)	~	$\checkmark$
08qu	$\checkmark$					~	✓	$\checkmark$	$\checkmark$
01qu	$\checkmark$					(√)	(√)	✓	$\checkmark$
08dt	$\checkmark$					✓	$\checkmark$	✓	$\checkmark$
Oldt	$\checkmark$					(√)	(√)	$\checkmark$	✓
08qd	$\checkmark$					✓	$\checkmark$	$\checkmark$	$\checkmark$
01qd	$\checkmark$					(√)	(√)	✓	$\checkmark$
OtG	$\checkmark$				~	<ul> <li>✓</li> </ul>	✓	<ul> <li>✓</li> </ul>	✓
OtW		$\checkmark$	$\checkmark$	$\checkmark$					
ОъW		(√)	(√)						
OtZ				$\checkmark$		~			
Off		(√)	(√)	(√)					
Ofq3		$\checkmark$	$\checkmark$	$\checkmark$		~			
OpQM				$\checkmark$		$\checkmark$			
Opt				$\checkmark$		$\checkmark$	$\checkmark$		
Otp							$\checkmark$		

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 $\mathcal{V}_{W^+}$ 

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#### SMEFT constraints from top data



#### Recipe for a SMEFT analysis of top sector



# the SMEFiT approach

#### From PDF fits to SMEFT analyses

In global PDF fits, LHC cross-sections (incl. top) are used to constrain the input PDFs

$$\sigma^{(\text{th})}\left(Q, \{a_k\}\right) = \sum_{ij} \Gamma_{ij}\left(\alpha_s, Q, Q_0\right) \otimes q_i(x, Q_0, \{a_k\}) \otimes q_j(x, Q_0, \{a_k\})$$

For PDF parameters  $\{a_k\}$  are determined from the **minimisation** of a figure of merit

$$\chi^2(\{\boldsymbol{a}_k\}) = \sum_{m,n}^{n_{\text{dat}}} \left( \sigma_n^{(\text{exp})} - \sigma_n^{(\text{th})}\{\boldsymbol{a}_k\} \right) (\text{cov})_{mn}^{-1} \left( \sigma_m^{(\text{exp})} - \sigma_m^{(\text{th})}\{\boldsymbol{a}_k\} \right)$$

If one now fixes the input PDFs (determined from a different set of data) and includes SMEFT effects, one can exploit the same PDF fitting approach to carry out a global SMEFT fit

$$\sigma^{(\mathrm{th})}\left(Q, \{c_k\}\right) = \left(1 + \sum_{k}^{N_{d6}} \frac{c_k \kappa_k}{\Lambda^2}\right) \sum_{ij} \Gamma_{ij}\left(\alpha_s, Q, Q_0\right) \otimes q_i(x, Q_0) \otimes q_j(x, Q_0)$$
$$\chi^2(\{c_k\}) = \sum_{m,n}^{n_{dat}} \left(\sigma_n^{(\mathrm{exp})} - \sigma_n^{(\mathrm{th})}\{c_k\}\right) (\mathrm{cov})_{mn}^{-1} \left(\sigma_m^{(\mathrm{exp})} - \sigma_m^{(\mathrm{th})}\{c_k\}\right)$$

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#### From PDF fits to SMEFT analyses



#### SMEFiT structure

Stand-alone Python code, which exploits functionalities of the NNPDF framework

NNPDF code	aMC@NLO	MCFM
Experimental data and covariance matrices	NLO QCD (benchmark)	NLO QCD (consistent choice of PDFs)
NLO APPLgrids + NNLO C-factors (for processes used in PDF fit)	LO, NLO SMEFT Both O(Λ <sup>-2</sup> ) and O(Λ <sup>-4</sup> ) from d=6 operators	<pre>Gross-checks of aMC@NLO</pre>

#### Python analysis code

Semble theory predictions for generic SMEFT Wilson coefficients

Optimisation with Sequential Quadratic Programming (SciPy)

Look-back cross-validation stopping

Monte Carlo replicas for uncertainty propagation

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#### Fitting methodology

Generate large sample of Monte Carlo replicas to construct the probability distribution in the space of experimental top quark measurements

$$\mathcal{O}_i^{(\operatorname{art})(k)} = S_{i,N}^{(k)} \mathcal{O}_i^{(\exp)} \left( 1 + \sum_{\alpha=1}^{N_{\operatorname{sys}}} r_{i,\alpha}^{(k)} \sigma_{i,c}^{(\operatorname{sys})} + r_i^{(k)} \sigma_i^{(\operatorname{stat})} \right) \,, \quad k = 1, \dots, N_{\operatorname{rep}}$$

Sector Cross-validation stopping to avoid both under- and over-fitting



- Methodology validated with pseudo-data based on closure tests: decouple from possible data incompatibilities, theory limitations, or genuine bSM effects
- PDF uncertainties included in the x<sup>2</sup> definition and MC sampling

#### **Closure Tests**

- Generate pseudo-data based on a given scenario (SM or BSM) and check that the correct (known) results are reproduced after the fit
- Allows quantifying the **expected statistical significance** for BSM deviations



#### **Cross-validation**

Since *N<sub>par</sub>* is not too different from *N<sub>dat</sub>*, overfitting will take place for an efficient optimiser

Sector Artificial tensions with the SM are likely to be generated by overfitting!

Fest the role of cross-validation in a closure test with pseudo-data generated with the SM

Fit residuals consistent with true result (SM) only with cross-validation



# SMEFiT analysis of the top quark sector

## Input dataset (I)

Process	Dataset	$\sqrt{s}$	Info	Observables	$N_{ m dat}$	Ref
$t ar{t}$	ATLAS_tt_8TeV_ljets	8 TeV	lepton+jets	$\begin{vmatrix} d\sigma/d y_t , d\sigma/dp_t^T, \\ d\sigma/dm_{t\bar{t}}, d\sigma/d y_{t\bar{t}}  \end{vmatrix}$	5, 8, 7, 5	[77]
$tar{t}$	CMS_tt_8TeV_ljets	8 TeV	lepton+jets	$\begin{vmatrix} d\sigma/dy_t,  d\sigma/dp_t^T, \\ d\sigma/dm_{t\bar{t}},  d\sigma/dy_{t\bar{t}} \end{vmatrix}$	10, 8, 7, 10	[78]
$t\bar{t}$	CMS_tt2D_8TeV_dilep	8 TeV	dileptons	$\begin{vmatrix} d^2\sigma/dy_t dp_t^T, \\ d^2\sigma/dy_t dm_{t\bar{t}}, \\ d^2\sigma/dp_{t\bar{t}}^T dm_{t\bar{t}}, \\ d^2\sigma/dy_{t\bar{t}} dm_{t\bar{t}}, \end{vmatrix}$	$     16, \\     16, \\     16, \\     16   $	[79]
$t \overline{t}$	CMS_tt_13TeV_ljets	13 TeV	lepton+jets	$\begin{vmatrix} d\sigma/d y_t , d\sigma/dp_t^T, \\ d\sigma/dm_{t\bar{t}}, d\sigma/d y_{t\bar{t}}  \end{vmatrix}$	$ \begin{array}{c c} 7, 9, \\ 8, 6 \end{array} $	[83]
$t \overline{t}$	CMS_tt_13TeV_ljets2	13 TeV	lepton+jets	$\begin{vmatrix} d\sigma/d y_t , d\sigma/dp_t^T, \\ d\sigma/dm_{t\bar{t}}, d\sigma/d y_{t\bar{t}}  \end{vmatrix}$	$ \begin{array}{c c} 11, 12, \\ 10, 10 \end{array} $	[85]
$t \bar{t}$	CMS_tt_13TeV_dilep	13 TeV	dileptons	$\begin{vmatrix} d\sigma/dy_t,  d\sigma/dp_t^T, \\ d\sigma/dm_{t\bar{t}},  d\sigma/dy_{t\bar{t}} \end{vmatrix}$	$ \begin{array}{c c} 8,  6, \\ 6,  8 \end{array} $	[86]
$t\bar{t}$	ATLASCMS_AcMtt_8TeV	8 TeV	Asymm comb	$A_C(m_{t\bar{t}}),$ Eq. (3.1)	6	[80]
$t ar{t}$	ATLAS_WhelF_8TeV	8 TeV	W helicity fract	$F_0, F_L, F_R$	3	[81]
$t\bar{t}$	CMS_WhelF_8TeV	8 TeV	W helicity fract	$F_0, F_L, F_R$	3	[82]

## Input dataset (II)

Process	Dataset	$\sqrt{s}$	Info	Observables	$N_{\rm dat}$	Ref
Single $t$	CMS_t_tch_8TeV_inc	8 TeV	<i>t</i> -channel	$\sigma_{\rm tot}(t), \sigma_{\rm tot}(\bar{t}) \ (R_t)$	2 (1)	[95]
Single $t$	$CMS_t_sch_8TeV$	8 TeV	s-channel	$\sigma_{ m tot}(t+ar{t})$	1	[96]
Single $t$	ATLAS_t_sch_8TeV	8 TeV	s-channel	$\sigma_{ m tot}(t+ar{t})$	1	[97]
Single $t$	ATLAS_t_tch_8TeV	8 TeV	<i>t</i> -channel	$\begin{vmatrix} d\sigma(tq)/dp_T^t, d\sigma(\bar{t}q)/dp_T^{\bar{t}} \\ d\sigma(tq)/dy_t, d\sigma(\bar{t}q)/dy_t \end{vmatrix}$	$\left \begin{array}{c}5,4\\4,4\end{array}\right $	[98]
Single $t$	ATLAS_t_tch_13TeV	13 TeV	<i>t</i> -channel	$\sigma_{\rm tot}(t), \sigma_{\rm tot}(\bar{t}) \ (R_t)$	2 (1)	[99]
Single $t$	$CMS_t_tch_13TeV_inc$	13 TeV	<i>t</i> -channel	$\sigma_{\rm tot}(t+\bar{t}) \ (R_t)$	1 (1)	[100]
Single $t$	CMS_t_tch_8TeV_dif	8 TeV	t-channel	$\left  egin{array}{c} d\sigma/dp_T^{(t+ar t)},\ d\sigma/d y^{(t+ar t)}  \end{array}  ight.$	6 6	[101]
Single $t$	CMS_t_tch_13TeV_dif	<b>13</b> TeV	t-channel	$\left  egin{array}{l} d\sigma/dp_T^{(t+ar t)},\ d\sigma/d y^{(t+ar t)}  \end{array}  ight.$	$\begin{vmatrix} 4\\4 \end{vmatrix}$	[102]
tW	ATLAS_tW_inc_8TeV	8 TeV	inclusive	$\sigma_{ m tot}(tW)$	1	[103]
tW	CMS_tW_inc_8TeV	8 TeV	inclusive	$\sigma_{ m tot}(tW)$	1	[104]
tW	ATLAS_tW_inc_13TeV	$13  \mathrm{TeV}$	inclusive	$\sigma_{ m tot}(tW)$	1	[105]
tW	$CMS_tW_inc_13TeV$	13 TeV	inclusive	$\sigma_{ m tot}(tW)$	1	[106]
tZ	CMS_tZ_inc_13TeV	$13 { m TeV}$	inclusive	$\left  \sigma_{ m fid}(Wbl^+l^-q)  ight $	1	[107]
tZ	ATLAS_tZ_inc_13TeV	13 TeV	inclusive	$\sigma_{ m tot}(tZq)$	1	[108]

## Input dataset (III)

Process	Dataset	$\sqrt{s}$	Info	Observables	$N_{\rm dat}$	Ref
$t ar{t} b ar{b}$	CMS_ttbb_13TeV	<b>13</b> TeV	total xsec	$\left  \sigma_{ m tot}(t\bar{t}b\bar{b}) \right $	1	[87]
$t\bar{t}t\bar{t}$	CMS_tttt_13TeV	13 TeV	total xsec	$\left  \sigma_{ m tot}(t\bar{t}t\bar{t}) \right $	1	[88]
$t\bar{t}Z$	CMS_ttZ_8_13TeV	8+13 TeV	total xsec	$\sigma_{\rm tot}(t\bar{t}Z)$	2	[89, 90]
$t\bar{t}Z$	ATLAS_ttZ_8_13TeV	8+13 TeV	total xsec	$\sigma_{\rm tot}(t\bar{t}Z)$	2	[91, 92]
$t\bar{t}W$	CMS_ttW_8_13TeV	8+13 TeV	total xsec	$\sigma_{\rm tot}(t\bar{t}W)$	2	[89, 90]
$t\bar{t}W$	ATLAS_ttW_8_13TeV	8+13 TeV	total xsec	$\left  \sigma_{\rm tot}(t\bar{t}W) \right $	2	[91, 92]
$t\bar{t}H$	CMS_tth_13TeV	13 TeV	signal strength	$ $ $\mu_{t\bar{t}H}$	1	[93]
$t\bar{t}H$	ATLAS_tth_13TeV	13 TeV	total xsec	$\sigma_{\rm tot}(t\bar{t}H)$	1	[94]

The fit includes more than **100 cross-section measurements** at 8 and 13 TeV from **10 different top-quark production processes** 

## Theory calculations

Process	SM	Code	SMEFT	Code
$t \bar{t}$	NNLO QCD	$\begin{array}{l} \texttt{MCFM/SHERPA} \ \texttt{NLO} \\ + \ \texttt{NNLO} \ K\text{-factors} \end{array}$	NLO QCD	MG5_aMC
single- $t$ ( $t$ -ch)	NNLO QCD	$\begin{array}{c} \text{MCFM NLO} \\ + \text{ NNLO } K \text{-factors} \end{array}$	NLO QCD	MG5_aMC
single- $t$ (s-ch)	NLO QCD	MCFM	NLO QCD	MG5_aMC
tW	NLO QCD	MG5_aMC	NLO QCD	MG5_aMC
tZ	NLO QCD	MG5_aMC	$\begin{vmatrix} \text{LO QCD} \\ + \text{NLO SM } K\text{-factors} \end{vmatrix}$	MG5_aMC
$t\bar{t}W(Z)$	NLO QCD	MG5_aMC	$\begin{vmatrix} \text{LO QCD} \\ + \text{NLO SM } K\text{-factors} \end{vmatrix}$	MG5_aMC
$t\bar{t}h$	NLO QCD	MG5_aMC	$\begin{vmatrix} \text{LO QCD} \\ + \text{NLO SM } K\text{-factors} \end{vmatrix}$	MG5_aMC
$t\bar{t}t\bar{t}$	NLO QCD	MG5_aMC	$\begin{vmatrix} \text{LO QCD} \\ + \text{NLO SM } K\text{-factors} \end{vmatrix}$	MG5_aMC
$t ar{t} b ar{b}$	NLO QCD	MG5_aMC	$\begin{array}{c} \text{LO QCD} \\ + \text{ NLO SM } K \text{-factors} \end{array}$	MG5_aMC

#### PDF set: NNPDF3.1 NNLO no-top

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#### **Operator basis**

	Class	Notation	Degree of Freedom	Operator Definition
We follow the same flavour assumptions		OQQ1	$c_{QQ}^1$	$2C_{qq}^{1(3333)} - \frac{2}{3}C_{qq}^{3(3333)}$
		0QQ8	$c^8_{QQ}$	$8C_{qq}^{3(3333)}$
as in the <b>LHC Top WG note</b>		OQt1	$c_{Qt}^1$	$C_{qu}^{1(3333)}$
-		OQt8	$c_{Qt}^8$	$C_{qu}^{8(3333)}$
	QQQQ	OQЪ1	$c_{Qb}^1$	$C_{qd}^{1(3333)}$
		0QЪ8	$c_{Qb}^8$	$C_{qd}^{8(3333)}$
Minimal Flavour Violation (MFV), diagonal	4-heavy	Ott1	$c_{tt}^1$	$C_{uu}^{(3333)}$
CKM zoro Vukowas for first two quark	-	Otb1	$c_{tb}^{1}$	$C_{ud}^{(3333)}$
CRIVI, ZEIO TUKAWAS IOI IIISI IWO YUAIK		Otb8	$c_{tb}^{s}$	$C_{ud}^{(0000)}$
gens		OQtQb1	$c_{QtQb}^{1}$	$C_{quqd}^{(0000)}$
		OQtQb8	$c_{QtQb}^{\circ}$	$C_{quqd}^{\circ(0000)}$
		081qq	$c_{Qq}^{1,8}$	$C_{qq}^{1(i33i)} + 3C_{qq}^{3(i33i)}$
		011qq	$c_{Qq}^{1,1}$	$C_{qq}^{1(ii33)} + \frac{1}{6}C_{qq}^{1(i33i)} + \frac{1}{2}C_{qq}^{3(i33i)}$
CP conservation assumed		083qq	$c_{Qq}^{3,8}$	$C_{qq}^{1(i33i)} - C_{qq}^{3(i33i)}$
		013qq	$c_{Qq}^{3,1}$	$C_{qq}^{3(i133)} + \frac{1}{6} (C_{qq}^{1(i33i)} - C_{qq}^{3(i33i)})$
		08qt	$c_{tq}^8$	$C_{qu}^{8(i133)}$
		01qt	$c_{tq}^1$	$C_{qu}^{i(ii33)}$
Include those SMEFT dimension-6	QQqq	08ut	$c_{tu}^8$	$2C_{uu}^{(i33i)}$
operators of Warsow basis with at least		01ut		$C_{uu}^{(i00)} + \frac{1}{3}C_{uu}^{(i00)}$
operators of warsaw basis with at least	0	08qu	$c_{Qu}^{\circ}$	$C_{qu}^{(001i)}$
one top quark	2-neavy-	Ulqu	$c_{Qu}$	$C_{qu}^{8(33ii)}$
• •	2-light	01d+	$c_{td}$	$C_{ud}$ $C^{1(33ii)}$
		Olat	$c_{td}^8$	$C_{ud}$ $C^{8(33ii)}$
		01ad	$C_{Qd}^{1}$	$C_{qd}$ $C^{1(33ii)}$
The fit includes a total of 34 independent		l orda	Qd	$Q_{qd}$
dogroos of froodom		OtG	$c_{tG}$	$\operatorname{Re}\{C_{uG}^{(3)}\}$
degrees of freedom		OLU	$c_{tW}$	$\operatorname{Re}\{C_{uW}\}$
		0+7	$C_{bW}$	$\operatorname{Re}\{C_{dW}\}$ $\operatorname{Re}\{-e_{W}C^{(33)} + e_{W}C^{(33)}\}$
	$QQ + V_{c}G_{c}Q$	0t2 Off	Cred	$\operatorname{Re}\left\{C^{(33)}\right\}$
Include both interference and quadratic	τοτο τ τ, τ, τ, γ	Ofa3	$c^3 \sim$	$C^{3(33)}_{\varphi q q}$
	2-heavy	OpQM	C.C.	$C_{\omega q}^{1(33)} - C_{\omega q}^{3(33)}$
contributions from these operators	+ V/h	Opt	$c_{\omega t}$	$C^{(33)}_{arphi u}$
		Otp	$c_{tarphi}$	$\operatorname{Re}\{C^{(33)}_{uarphi}\}$

# Results (preliminary)

## Fit quality

# (preliminary)

## Good agreement between theory (SM and SMEFT) and data for most datasets

#### For the 102 fitted cross-sections, we find <u>x<sup>2</sup>/n<sub>dat</sub> of 0.81 (0.76) before (after) fit</u>

Including SMEFT effects tend to improve agreement with data: need to quantify how significant this improvement is

Dataset	$\chi^2/n_{\rm dat}$ (prior)	$\chi^2/n_{\rm dat}$ (fit)	$n_{\mathrm{dat}}$
<code>ATLAS_tt_8TeV_ljets</code> [ $m_{t\bar{t}}$ ]	1.51	1.44	7
CMS_tt_8TeV_ljets [ $y_t$ ]	1.17	1.21	10
CMS_tt2D_8TeV_dilep $[(m_{t\bar{t}},y_t)]$	1.38	1.38	16
CMS_tt_13TeV_ljets2 [ $y_{tar{t}}$ ]	0.25	0.23	8
CMS_tt_13TeV_dilep $\left[ \; y_{t ar{t}} \;  ight]$	0.26	0.26	6
CMS_tt_13TeV_ljets_2016 [ $y_t$ ]	0.07	0.08	11
ATLAS_WhelF_8TeV	1.98	1.13	3
CMS_WhelF_8TeV	0.31	0.42	3
CMS_ttbb_13TeV	5.00	3.99	1
CMS_tttt_13TeV	0.05	0.08	1
ATLAS_tth_13TeV	1.61	1.11	1
CMS_tth_13TeV	0.34	0.09	1
ATLAS_ttZ_8TeV	1.32	1.18	1
ATLAS_ttZ_13TeV	0.01	0.01	1
CMS_ttZ_8TeV	0.04	0.06	1
CMS_ttZ_13TeV	0.90	0.94	1
ATLAS_ttW_8TeV	1.34	1.24	1
ATLAS_ttW_13TeV	0.82	0.81	1
CMS_ttW_8TeV	1.54	1.46	1
CMS_ttW_13TeV	0.03	0.02	1
CMS_t_tch_8TeV_dif	0.11	0.21	6
$\texttt{ATLAS\_t\_tch\_8TeV} \left[ \begin{array}{c} y_t \end{array} \right]$	0.91	0.61	4
<code>ATLAS_t_tch_8TeV</code> [ $y_{ar{t}}$ ]	0.40	0.33	4
ATLAS_t_sch_8TeV	0.08	0.23	1
$\texttt{CMS\_t\_tch\_13TeV\_dif} \left[ \begin{array}{c} y_t \end{array} \right]$	0.46	0.48	4
CMS_t_sch_8TeV	1.26	1.16	1
ATLAS_tW_inc_8TeV	0.02	0.00	1
CMS_tW_inc_8TeV	0.00	0.01	1
ATLAS_tW_inc_13TeV	0.52	0.62	1
CMS_tW_inc_13TeV	4.29	3.26	1
ATLAS_tZ_inc_13TeV	0.00	0.02	1
CMS_tZ_inc_13TeV	0.66	0.64	1
Total	0.81	0.76	102

## Fit results



- Agreement with the SM expectation within uncertainties
- Bounds on individual operators are in general largely correlated among them
- Large differences between the bounds obtained from each operator



## Comparison with previous bounds



SMEFit analysis of top quark sector

Compare to bounds reported in the LHC Top WG EFT note (same flavour assumptions)

- Improvement found (more stringent bounds) in all fitted degrees of freedom
- For some specific operators **our bounds are the first ones** to be reported

Juan Rojo

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#### Comparison with data



Juan Rojo

#### Summary and outlook

- Presented a novel framework, SMEFIT, suitable for global analyses of the SMEFT, which exploit expertise inherited from global PDF fits
- As a proof-of-concept, applied this novel framework to the exploration of the constraints in the SMEFT parameter space provided by LHC top quark data
- (preliminary) results indicate improved constraints compared to previous studies

- Enlarge the operator fitting basis and include additional LHC cross-sections (Higgs, electroweak, jets) as well as flavour and low-energy observables
- Explore implications of our results for specific **UV-complete models**
- Ultimately the simultaneous determination of PDFs and SMEFT degrees of freedom might be required to fully exploit the LHC potential

Juan Rojo