



High-dimensional model inference with deep-learning: from the LHC to quantum materials

Juan Rojo, VU Amsterdam & Nikhef

Mini-workshop on Al and Data Modelling @ VU Physics Amsterdam, 13th March 2024

Scrutinising Proton Structure with Al

The Standard Model

Standard Model of particle physics: hugely succesful, powerful framework describing **elementary particles** and their **interactions**



matter particles

- 6 quarks (fractional charge)
- 3 charged leptons (*e.g. electron*)
- 3 neutrinos (only weak charge)
- Organised in 3 generations: identical (?) except for mass

force carriers

- photon (*electromagnetism*)
- gluon (strong nuclear force)
- weak bosons (*weak nuclear force*)

Higgs boson

both matter particle and force carrier!

The (incomplete) Standard Model

Standard Model of particle physics: hugely succesful, but leaves many foundational questions unanswered

Origin of particle masses and Higgs force?

Where is all the missing Antimatter?

 $\begin{aligned} \mathcal{I} &= -\frac{1}{4} F_{A\nu} F^{A\nu} \\ &+ i F \mathcal{D} \mathcal{V} + h.c. \\ &+ \mathcal{V}_i \mathcal{Y}_{ij} \mathcal{Y}_j \mathcal{P} + h.c. \end{aligned}$ $+ |D_{\varphi}|^{2} - V(\phi)$

What is Dark Matter?

Quantum Gravity? Inflation?

requires new particles and interactions beyond the Standard Model!

The Large Hadron Collider



Proton Structure

One may claim that the **nucleon is a rather** ``**boring**" **particle**, surely after **one century of studying it**, we know everything about the proton?



nothing farther from reality!

The many faces of the proton

QCD bound state of quarks and gluons



✓ Valence quarks (up and down) give the proton its quantum numbers (e.g. electric charge)

$$\begin{aligned} \left| \Psi \right\rangle &\approx \left| uud \right\rangle \\ Q_p &= +1 \\ Q_d &= -\frac{1}{3} \end{aligned}$$

- Sea quarks (antiup, antidown, strange, ...) arise from quantum fluctuations
- Tightly held together by gluons, can only be broken in extremely energetic collisions

Parton Distributions

g(x, Q)

Energy of hard-scattering reaction: inverse of resolution length

Probability of **finding a gluon inside a proton**, carrying a fraction *x* of the proton momentum, when probed with energy *Q*

x: fraction of proton momentum carried by gluon

Dependence on *x* fixed by **non-perturbative QCD dynamics**: extract from experimental data

$$g(x, Q_0, \{a_g\}) = f_g(x, a_g^{(1)}, a_g^{(2)}, \dots)$$

constrain from data

Dependence with resolution scale Q: DGLAP evolution, computable from first principles Energy conservation and quark number conservation are fixed boundary conditions

Probing Proton Structure





credit: *visualising the proton*, Arts at MIT (https://arts.mit.edu/visualizing-the-proton/)

Machine Learning Proton Structure

Model-independent PDF parametrisation with neural networks as universal unbiased interpolants

Stochastic Gradient Descent via TensorFlow for neural network training

Automated model hyperparameter optimisation: NN architecture, minimiser, learning rates …



Machine Learning PDFs



Error estimate based on Monte Carlo replica method (band: standard deviation over the MC replicas)

each curve is a separately trained neural network

The charm content of the proton

common assumption: the proton wave function does not contain charm quarks

the proton contains intrinsic up, down, strange (anti-)quarks but no intrinsic charm quarks



The charm content of the proton

common assumption: the proton wave function does not contain charm quarks the proton contains **intrinsic up, down, strange (anti-)quarks** but **no intrinsic charm quarks**

It does not need to be so! An intrinsic charm component predicted in many models



Recent data give unexpectedly large cross-sections for charmed particle production at high x_F in hadron collisions. This may imply that the proton has a non-negligible uudcc Fock component. The interesting consequences of such a hypothesis are explored.

40 years of extensive searches for intrinsic charm: no unambiguous evidence

Intrinsic Charm in the Proton



The 3FNS charm PDF displays **non-zero component** peaked at large-*x* which can be identified with **intrinsic charm**



Beyond Proton PDFs

The same approach can be extended to learn more complex quantities from the data

- Neutrino structure functions require three inputs and six outputs, each with different ranges, variations, asymptotic limits
- Input for the brand-new collider neutrino program started recently at CERN with the installation of dedicated detectors







GPU & Hyperparameter Optimisation

Deploy NNPDF machinery on GPUs & optimise performance (factor 200 improvement!)

Develop new strategies for hyperparameter optimisation based on the full posterior probability distribution, not only on first moment as most approaches

1.75 5.0 1.50 4.5 1.25 penalties 4.0 1.00 3.5 0/M) 0.75 3.0 à 2.5 0.50 2.0 0.25 Point with chi2 close to chi2 min 1.5 but with lowest 1/phi2 0.00 0 6 8 10 12 14 16 18 20 22 24 26 28 30 32 34 36 38 2 trial #

replicas #: 10

branch	1 replica	100 replicas
master	96	860
replica-axis-first	96	505
multi-dense-layer	112	304
multi-dense + trvl	145	320
fk-refactor	120	90
merge-observable-splits	-	-
avoid-idle-gpu	90?	54

Hyperoptimization with 10 replicas. Statistics: average over replicas and folds

Loss type: $<\chi^2>$

 $< \chi^2 > (w/o penalties)$





Optimal Observables for New Physics Searches with Al

R. Gomez-Ambrosio, J. ter Hoeve, M. Madigan, J. Rojo, V. Sanz, 'JHEP (2023)

The Standard Model as an Effective Theory

The Standard Model EFT is defined by:

Particle (matter) content: guarks and leptons

Gauge (local) symmetries and their eventual breaking mechanisms

Lorentz invariance and other global symmetries

 \checkmark Linearly realised SU(2)_L EW symmetry breaking

Validity only up to certain energy scale A

2 = - = tav + "

(d)

$$\mathcal{L}_{\mathsf{SMEFT}}(\{c_i\},\Lambda) = \mathcal{L}_{\mathsf{SM}} + \sum_{d=5}^{2}\sum_{i=1}^{3}$$

EFT coupling constants, to be determined from data

>____

21

All possible operators of massdimension *d* consistent with above requirements

The Standard Model EFT

- The number of SMEFT operators is large: 59 non-redundant operators at dimension 6 for one fermion generation, 2499 operators without any flavour assumption
- A global SMEFT analysis needs to explore a huge complicated parameter space

	<i>X</i> ³		$X^2 \varphi^2$						
Q_G	$f^{ABC}G^{A\nu}_{\mu}G^{B\rho}_{\nu}G^{C\mu}_{\rho}$	$Q_{\varphi G}$	$\phi^{\dagger} \phi G^A_{\mu u} G^{A \mu u}$	← pure bosonic					
$Q_{\widetilde{G}}$	$f^{ABC}\widetilde{G}^{A\nu}_{\mu}G^{B\rho}_{\nu}G^{C\mu}_{\rho}$	$Q_{\varphi B}$	$\phi^{\dagger} \phi B_{\mu u} B^{\mu u}$	four-fermion operators					
Q_W	$\varepsilon^{IJK}W^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$	$Q_{\varphi W}$	$\phi^{\dagger}\phi W^{I}_{\mu u}W^{I\mu u}$						
$Q_{\widetilde{W}}$	$\varepsilon^{IJK}\widetilde{W}^{I\nu}_{\mu}W^{J\rho}_{\nu}W^{K\mu}_{\rho}$	$Q_{\varphi WB}$	$\phi^{\dagger} au^{I} \phi W^{I}_{\mu u} B^{\mu u}$	bosonic-fermionic					
	$arphi^6$	$Q_{\varphi \widetilde{G}}$	$arphi^\dagger arphi \widetilde{G}^A_{\mu u} G^{A\mu u}$						
Q_{φ}	$\left(oldsymbol{arphi}^{\dagger} oldsymbol{arphi} ight)^3$	$Q_{\varphi \widetilde{B}}$	$\phi^{\dagger}\phi\widetilde{B}_{\mu u}B^{\mu u}$					22	
	$arphi^4 D^2$	$Q_{\varphi \widetilde{W}}$	$\phi^{\dagger}\phi\widetilde{W}^{I}_{\mu u}W^{I\mu u}$			$\psi^2 \phi^3$	(1)	$\psi^2 \phi^2 D$	
Q_{φ}	$\square \qquad (\varphi^\dagger \varphi) \square (\varphi^\dagger \varphi)$	$Q_{\omega \widetilde{W}B}$	$\varphi^{\dagger} au^{I} \varphi \widetilde{W}^{I}_{\mu u} B^{\mu u}$		$Q_{u\phi}$	$\left(oldsymbol{arphi}^{\dagger} oldsymbol{arphi} ight) \left(ar{q} u \widetilde{oldsymbol{arphi}} ight)$	$Q_{\varphi\ell}^{(1)}$	$\left(arphi^{\dagger} i D_{\mu} arphi ight) \left(ar{\ell} \gamma^{\mu} \ell ight)$	
$Q_{\varphi I}$	$D \left[\left(\varphi^{\dagger} D^{\mu} \varphi \right)^{*} \left(\varphi^{\dagger} D_{\mu} \varphi \right)^{*} \right]$)			$Q_{d\varphi}$	$\left(oldsymbol{arphi}^{\dagger} oldsymbol{arphi} ight) (ar{q} d oldsymbol{arphi})$	$Q_{arphi\ell}^{(3)}$	$\left(arphi^{\dagger} i \stackrel{\leftrightarrow}{D}{}^{I}_{\mu} arphi ight) \left(ar{\ell} au^{I} \gamma^{\mu} \ell ight) ight)$	
				$Q_{e\varphi}$	$\left(arphi^{\dagger} arphi ight) \left(ar{\ell} e arphi ight)$	$Q_{\varphi e}$	$\left(arphi^{\dagger} i \stackrel{\leftrightarrow}{D}_{\mu} arphi ight) (ar{e} \gamma^{\mu} e)$		
$(\overline{L}L)(\overline{L}L)$			$(\overline{L}L)(\overline{R}R)$		$\psi^2 X \varphi$		$Q^{(1)}_{\varphi q}$	$\left(\varphi^{\dagger} i \overleftrightarrow{D}_{\mu} \varphi \right) (\bar{q} \gamma^{\mu} q)$	
$\mathcal{Q}_{\ell\ell}$	$\left(\overline{\ell} \gamma_{\mu} \ell \right) \left(\overline{\ell} \gamma^{\mu} \ell \right)$	$Q_{\ell e}$	$\left(\bar{\ell} \gamma_{\mu} \ell \right) \left(\bar{e} \gamma^{\mu} e ight)$		0	$(\bar{\ell}\sigma^{\mu\nu}e)\tau^{I}\omega W^{I}$	$O^{(3)}$	$\left(a^{\dagger} i \overrightarrow{D}^{I} a \right) \left(\overline{a} \tau^{I} \gamma^{\mu} a \right)$	
$Q_{qq}^{(1)}$	$\left(ar{q} \gamma_{\mu} q ight) \left(ar{q} \gamma^{\mu} q ight)$	$Q_{\ell u}$	$\left(\bar{\ell} \gamma_{\mu} \ell \right) \left(\bar{u} \gamma^{\mu} u \right)$		QeW	$(e0, e) t \phi w_{\mu\nu}$	$\mathcal{Q} \varphi q$	$\left(\begin{array}{c} \psi \ iD_{\mu}\psi \end{array}\right) \left(q \ i \ \gamma \ q\right)$	
$Q_{qq}^{(3)}$	$\left(ar{q} \gamma_\mu au^I q ight) \left(ar{q} \gamma^\mu au^I q ight)$	$Q_{\ell d}$	$\left(ar{\ell} \gamma_\mu \ell ight) \left(ar{d} \gamma^\mu d ight)$		Q_{eB}	$(\ell\sigma^{\mu\nu}e)\varphi B_{\mu\nu}$	$Q_{\varphi u}$	$\left(\varphi^{\dagger}iD_{\mu}\varphi\right)(\bar{u}\gamma^{\mu}u)$	
$\mathcal{Q}_{\ell q}^{(1)}$	$\left(ar{\ell} \gamma_\mu \ell ight) \left(ar{q} \gamma^\mu q ight)$	Q_{qe}	$\left(ar{q} \gamma_{\!\mu} q ight) \left(ar{e} \gamma^{\!\mu} e ight)$		Q_{uG}	$\left(\bar{q}\sigma^{\mu\nu}T^{A}u\right)\widetilde{\varphi}G^{A}_{\mu\nu}$	$Q_{\varphi d}$	$\left(\varphi^{\dagger} i \overleftrightarrow{D}_{\mu} \varphi ight) \left(\overline{d} \gamma^{\mu} d ight)$	
$Q_{\ell q}^{(3)}$	$\left(ar{\ell} \gamma_\mu au^I \ell ight) \left(ar{q} \gamma^\mu au^I q ight)$	$Q_{qu}^{(1)}$	$\left(\bar{q}\gamma_{\mu}q\right)\left(\bar{u}\gamma^{\mu}u\right)$		Q_{uW}	$(\bar{q}\sigma^{\mu\nu}u)\tau^I\widetilde{\varphi}W^I_{\mu\nu}$	$Q_{\varphi ud}$	$\left(\widetilde{\varphi}^{\dagger}iD_{\mu}\varphi ight)\left(\bar{u}\gamma^{\mu}d ight)$	
		I		22	I	ı I	1	· · ·	

Statistically optimal observables for EFTs

Which kind of measurement is most sensitive to SMEFT operators?

Difficult question to answer in general since SMEFT-sensitive measurements can be:

Inclusive or (1,2,3, …)-differential (in which specific variables?)

- Binned (choice of binning?) or unbinned
- Unfolded at parton level, at particle level, or at detector level

relevant to many other extractions of SM & BSM parameters from data

Our approach:

deploy **unbinned multivariate measurements** to determine the best sensitivity that a given process can have on SMEFT operators by means of **machine learning techniques**

observed predicted event counts event counts Gaussian likelihood $\mathcal{L}(oldsymbol{n};oldsymbol{
u}(oldsymbol{c})) = \prod_{i=1}^{N_b} \exp\left[-rac{1}{2}rac{(n_iu_i(oldsymbol{c}))^2}{
u_i(oldsymbol{c})}
ight]$ retains full information on event-by-event kinematics event Unbinned multivariate likelihood sum over

Statistically optimal observables for EFTs

Which kind of measurement is most sensitive to SMEFT operators?

Difficult question to answer in general since SMEFT-sensitive measurements can be:

Inclusive or (1,2,3, …)-differential (in which specific variables?)

- Binned (choice of binning?) or unbinned
- Unfolded at parton level, at particle level, or at detector level

relevant to many other extractions of SM & BSM parameters from data

Our approach:

deploy **unbinned multivariate measurements** to determine the best sensitivity that a given process can have on SMEFT operators by means of **machine learning techniques**

Challenges:

- Parameter inference requires knowledge of the likelihood for any value of the EFT coefficients
- Evaluation of likelihood functions computationally costly due to high dimensionality both of the space of kinematic features x and of EFT parameters c

Solution:

Neural networks as **universal unbiased interpolants** to parametrise high-dimensional likelihoods

Statistically optimal observables from ML

the dependence of the cross-section on kinematic variables and all EFT coefficients

$$r_{\sigma}(\boldsymbol{x}, \boldsymbol{c}) \equiv rac{f_{\sigma}(\boldsymbol{x}, \boldsymbol{c})}{f_{\sigma}(\boldsymbol{x}, \boldsymbol{0})} = 1 + \sum_{j=1}^{n_{ ext{eft}}} r_{\sigma}^{(j)}(\boldsymbol{x}) c_j + \sum_{j=1}^{n_{ ext{eft}}} \sum_{k \geq j}^{n_{ ext{eft}}} r_{\sigma}^{(j,k)}(\boldsymbol{x}) c_j c_k$$

parametrised with neural networks trained to Monte Carlo simulations & benchmarked with exact calculations

$$\hat{r}_{\sigma}(oldsymbol{x},oldsymbol{c}) = 1 + \sum_{j=1}^{n_{ ext{eft}}} \mathrm{NN}^{(j)}(oldsymbol{x}) c_j + \sum_{j=1}^{n_{ ext{eft}}} \sum_{k\geq j}^{n_{ ext{eft}}} \mathrm{NN}^{(j,k)}(oldsymbol{x}) c_j c_k$$

extendable to **arbitrary number** of kinematic variables and EFT coefficients: training can be parallelised

methodological uncertainties (e.g. finite training samples) assess with the replica method

$$\hat{r}_{\sigma}^{(i)}(\boldsymbol{x}, \boldsymbol{c}) \equiv 1 + \sum_{j=1}^{n_{\text{eft}}} \mathrm{NN}_{i}^{(j)}(\boldsymbol{x})c_{j} + \sum_{j=1}^{n_{\text{eft}}} \sum_{k \geq j}^{n_{\text{eft}}} \mathrm{NN}_{i}^{(j,k)}(\boldsymbol{x})c_{j}c_{k}, \qquad i = 1, \dots, N_{\text{rep}}$$

each replica trained to an independent set of MC events

representation of the probability distribution in the space of ML models

Neural network training



NN training by minimising cross-entropy loss function

$$L[g(\boldsymbol{x}, \boldsymbol{c})] = -\sigma_{\rm fid}(\boldsymbol{c}) \sum_{i=1}^{N_{\rm ev}} \log(1 - g(\boldsymbol{x}_i, \boldsymbol{c})) - \sigma_{\rm fid}(\boldsymbol{0}) \sum_{j=1}^{N_{\rm ev}} \log g(\boldsymbol{x}_j, \boldsymbol{c}) \qquad g = (1 + r_{\sigma})^{-1}$$

Neural network training



NN training by minimising cross-entropy loss function

$$L[g(\boldsymbol{x}, \boldsymbol{c})] = -\sigma_{\rm fid}(\boldsymbol{c}) \sum_{i=1}^{N_{\rm ev}} \log(1 - g(\boldsymbol{x}_i, \boldsymbol{c})) - \sigma_{\rm fid}(\boldsymbol{0}) \sum_{j=1}^{N_{\rm ev}} \log g(\boldsymbol{x}_j, \boldsymbol{c}) \qquad g = (1 + r_{\sigma})^{-1}$$

Results: Higgs+Z production

Marginalised 95 % C.L. intervals, $\mathcal{O}(\Lambda^{-4})$ at $\mathcal{L} = 300 \text{ fb}^{-1}$









Quantum Materials under the Al-Boosted Microscope

- Roest, van Heijst, Maduro, JR, Conesa-Boj, Charting the low-loss region in electron energy loss spectroscopy with machine learning Ultramicroscopy (2021)
- ✓ van Heijst, Mukai, Okunishi, Hashiguchi, Maduro, Roest, JR, Conesa-Boj, Illuminating the Electronic Properties of WS₂ Polytypism with Electron Microscopy, Annalen der Physiek (2021)
- Spatially resolved band gap and dielectric function in two-dimensional materials from electron energy loss spectroscopy, Journal of Physical Chemistry A (2022)
- Stijn van der Lippe, Abel Brokkelkamp, JR, Sonia Conesa-Boj, Localized Exciton Anatomy and BandGap Energy Modulation in 1D MoS₂ Nanostructures, Advanced Functional Materials (2023)
- In La, Brokkelkamp, van der Lippe, Ter Hoeve, JR, Conesa-Boj, Edge-induced excitations in Bi2Te3 from spatially-resolved electron energy-gain spectroscopy, Ultramicroscopy (2023).

Electron Energy Loss Spectroscopy

EELS: monitor **energy losses** suffered by the electrons from a Transmission Electron Microscope (TEM) beam upon **interaction with the sample**



- Challenge: EELS measurements affected by huge background (Zero-Loss Peak) at lowenergy losses from elastic scatterings: complicates interpretation of material properties!
- Solution: parametrise backgrounds from data using Deep Neural Networks and Monte Carlo sampling to remove them in a model-independent manner

A ML model for EELS backgrounds



The Monte Carlo replica method

Generate Monte Carlo replicas of the original data points with multi-Gaussian distribution with central values and covariance matrices taken from the input measurements

$$I_{\mathrm{ZLP},i}^{(\mathrm{art})(k)} = I_{\mathrm{ZLP},i}^{(\mathrm{exp})} + r_i^{(\mathrm{stat},k)} \sigma_i^{(\mathrm{stat})} + \sum_{j=1}^{n_{\mathrm{sys}}} r_{i,j}^{(\mathrm{sys},k)} \sigma_{i,j}^{(\mathrm{sys})} , \quad \forall i , \quad k = 1, \dots, N_{\mathrm{rep}} ,$$

Frain a NN model on each replica from the minimisation of the log-likelihood

$$E^{(k)}\left(\{\theta^{(k)}\}\right) = \frac{1}{n_{\text{dat}}} \sum_{i=1}^{n_{\text{dat}}} \left(\frac{I_{\text{ZLP},i}^{(\text{art})(k)} - I_{\text{ZLP},i}^{(\text{mod})}\left(\{\theta^{(k)}\}\right)}{\sigma_i^{(\text{exp})}}\right)^2,$$

We end up with a sampling of the probability density in the space of NN models, from which we can compute e.g. the variance of the predicted ZLP intensity for arbitrary inputs

$$\sigma_{I_{\rm ZLP}}^{\rm (mod)}(\{z_1\}) = \left(\frac{1}{N_{\rm rep}} - 1 \sum_{k=1}^{N_{\rm rep}} \left(I_{\rm ZLP}^{\rm (mod)(k)} - \left\langle I_{\rm ZLP}^{\rm (mod)}\right\rangle\right)\right)^{1/2}$$

1 10

Band gap extraction in polytypic WS₂



Apply to **nanoflowers** composed by 2H/3R **polytypic WS**₂

M First extraction of band gap in this material from fit to subtracted EEL spectra

$$I_{\text{inel}}(\Delta E) \simeq A \left(\Delta E - E_{\text{BG}}\right)^b \qquad E_{\text{BG}} = 1.6^{+0.3}_{-0.2} \,\text{eV}\,, \quad b = 1.3^{+0.3}_{-0.7}\,.$$

✓ ML-subtracted spectra make possible mapping exciton transitions down to 1.5 eV

consistent with ab-initio DFT calculations

ML analysis of spectral images



EELS spectral image contains up to O(10⁵) individual spectra

- ✓ Use unsupervised learning (*K*-means clustering) to identify clusters of pixels with comparable sample thickness and combine them for the (supervised) NN training
- Simultaneous determination of physical properties across the **whole nanostructure** with their **uncertainties:** thickness, band gap, position and width of plasmonic and excitonic resonances,...

Exciton Anatomy in 1D-MoS₂



strain field mapping





- Direct correlation of strain fields, band gap
 modulation, and exciton localisation in 1D-MoS₂
 nanostructures with different morphologies
- Demonstrate that excitons are localised in regions with large strain (e.g. bends, tips)
- Implications e.g. for single photon emitters for quantum communication
- Same methods could be also applied to other types of **spectroscopy techniques**

Summary and outlook

- Machine learning makes possible identifying patterns in the data whereby one can efficiently solve problems which are difficult of intractable with traditional approaches
- Powerful to parametrise in an unbiased way high-dimensional functions and infer them from the data, while ensuring faithful uncertainty estimates
- Enable discoveries such as intrinsic charm quarks in the proton & make possible to optimise the sensitivity of searches for interesting phenomena hidden in the data
- Our technology is portable to many other problems, as demonstrated for their applicability to data analysis in electron microscopy of quantum materials
- All codes are open source and extensively documented, and have benefitted from contributions as well from BSc and MSc students in our groups

